

**Comparison of dementia incidence and prevalence between individuals with and without HIV infection in primary care from 2000 to 2016**

**Running head:** Dementia in people with and without HIV

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**ABSTRACT**

**Objective:** To compare dementia incidence and prevalence after age 50 by HIV status

**Design:** Observational cohort, 2000 to 2016

**Methods:** People with HIV (PWH) on antiretroviral therapy (ART) and demographically-similar people without HIV (PWoH), all aged 50 years and older, were identified from Kaiser Permanente healthcare systems in Northern California, Southern California, and Mid-Atlantic

States (Maryland, Virginia, Washington D.C.). Dementia diagnoses were obtained from electronic health records. Incidence and prevalence of dementia, overall and by time period (i.e., 2000-2002, 2003-2004, ..., 2015-2016), were calculated using Poisson regression. Trends were examined using Joinpoint regression. Rate ratios were used to compare dementia by HIV status with adjustment for sociodemographics, substance use, and clinical factors.

**Results:** The study included 13,296 PWH and 155,354 PWOH (at baseline: for both, mean age=54 years, 89% male; for PWH, 80% with HIV RNA <200 copies/ml). From 2000 to 2016, overall incidence of dementia was higher among PWH (adjusted incidence rate ratio [aIRR]=1.80, 95% CI=1.60-2.04). Dementia incidence decreased among both PWH and PWOH (-8.0% and -3.1% per period, respectively), but remained higher among PWH in the most recent time period, 2015-2016 (aIRR=1.58, 95% CI=1.18-2.12). The overall prevalence of dementia from 2000-2016 was higher among PWH (adjusted prevalence ratio [aPR]=1.86, 95% CI=1.70-2.04) and was also higher among PWH in 2015-2016 (aPR=1.75, 95% CI=1.56-1.97).

**Conclusion:** Reductions in dementia incidence are encouraging and may reflect ART improvement, but PWH are still more likely to have dementia than PWOH. Monitoring the burden of dementia among PWH is important as this population ages.

**Key words** (5-7): Dementia, neurocognitive disorder, antiretroviral therapy, cognitive impairment, comorbidity, substance use, aging

## Introduction

With advances in HIV treatment, the characteristics of neurocognitive disorders among people with HIV (PWH) have changed [1-3]. Prior to the availability of antiretroviral therapy (ART), HIV-associated dementia was frequently diagnosed among people with uncontrolled HIV infection and was often associated with late-stage HIV disease, neurologic opportunistic infection, and poor prognosis [2, 4, 5]. The neurological benefits of ART are clear, as HIV-associated dementia rarely develops among those with well-controlled HIV [2]. However, cognitive impairments continue to affect 30-50% of PWH, indicating that ART use may not completely prevent or resolve neurologic complications associated with HIV infection [1, 6-10]. Most research to-date has focused on a spectrum of cognitive impairments among people with HIV, collectively called HIV-associated neurocognitive disorders (HAND) and ranging from asymptomatic impairment detectable only upon neuropsychological testing to severe impairment interfering with everyday activities [11]. Fewer studies have evaluated age-associated neurodegenerative disease including dementias such as Alzheimer's disease and vascular dementia among PWH, but this is an important emerging concern given that most PWH in the U.S. are now greater than 50 years old [2, 12, 13].

While several studies report that the incidence and prevalence of dementia among older adults in the general U.S. population have decreased in recent years, possibly due to improvements in dementia-related risk factors such as cardiovascular disease [14, 15], these trends may not be reflected in the HIV population. In fact, given that PWH have a higher prevalence of dementia risk factors such as smoking, unhealthy alcohol use, and cardiovascular disease, the burden of neurocognitive impairment in this population is anticipated to increase as a greater proportion of PWH reach older ages [16, 17]. However, few studies have directly compared the epidemiology of dementia among PWH and age-matched uninfected individuals from the general population.

Improved clarity on the temporal trends and current burden of dementia among PWH will be vital to meet the cognitive care needs of an aging HIV population. ART-treated PWH now have life expectancies nearing those of people without HIV (PWoH) [18], but approaches to dementia prevention for the general population may not apply to cognitive impairments in the HIV context. Observational studies of HIV cohorts report that HAND is common despite ART use, but its characteristics and predictors have changed since the pre-ART era [1, 3, 7, 9, 19, 20]. Also, the clinical relevance of HAND has been debated as it encompasses a broad spectrum of cognitive impairments and may have less clinical importance than symptomatic dementia reported or detected in primary care [11, 21-23]. Further, U.S. studies on temporal changes in the epidemiology of cognitive impairments among PWH were conducted over 10 years ago, prior to recent ART advances, and were not powered nor designed to evaluate age-associated dementias given the young median age of the study populations and focus on the heterogeneous outcome of HAND [1, 24, 25].

In this study, we use data from three large healthcare delivery systems in the U.S. to describe the incidence and prevalence of clinically-apparent dementia diagnosed in routine primary care among ART-treated PWH aged  $\geq 50$  years compared with demographically-similar PWoH.

## Methods

**Study design, setting, and participants.** This observational cohort study of PWH and PWoH included members of Kaiser Permanente (KP) health plans in Northern California, Southern California, and Mid-Atlantic States (Maryland, Virginia, Washington D.C.) between 2000 and 2016. These integrated healthcare systems provide comprehensive medical services to approximately 9.5 million members who are demographically similar to the insured adult population in the underlying catchment areas [26]. PWH were identified using regional KP HIV registries which capture all known cases of HIV/AIDS among KP members by monitoring laboratory databases, pharmacy records, and International Classification of Diseases (ICD)-coded diagnoses for indicators of HIV infection.

Study participants were selected from an established cohort of PWH and PWoH who were frequency-matched 1:10 by age, sex, race/ethnicity, medical facility, and year at baseline (i.e. start of follow-up) [18]. Follow-up began on the earliest date on or after January

1, 2000 that all study eligibility criteria were met. People were eligible for inclusion if they were  $\geq 50$  years old and had  $\geq 1$  year of continuous KP membership in the year before baseline. PWH were required to be on ART, defined as having  $\geq 1$  prescription fill for ART from a KP pharmacy in the year before baseline.

Individuals with pre-existing dementia at baseline were excluded from analyses of dementia incidence but included in analyses of dementia prevalence. For analyses of dementia incidence, follow-up ended on the earliest of incident dementia, death, health plan disenrollment, or end of the study (December 31, 2016). For analyses of dementia prevalence, follow-up ended on the earliest of death, health plan disenrollment, or end of the study. People who developed incident dementia during the study remained prevalent cases until the end of follow-up. A flow chart of PWH included in the study is shown in Supplemental Figure 1, <http://links.lww.com/QAD/C397>. The study protocol was approved by the Institutional Review Board at KP Northern California.

**Dementia ascertainment.** Dementia diagnoses were identified from the electronic health record (EHR) using ICD codes, which included diagnoses of Alzheimer's disease, vascular dementia, Parkinson's dementia, dementia with Lewy bodies, frontotemporal dementia, and other/unspecified dementias (Supplemental Table 1, <http://links.lww.com/QAD/C398>). In a prior KP Northern California study, these ICD codes were confirmed via chart review to have comparable positive predictive value (PPV) for dementia in PWH (PPV=93%; 64/69) and PWoH (PPV=97%; 114/117;  $p=0.21$ ), regardless of the diagnosing provider [27]. Incident dementia was defined as the first dementia diagnosis of any type occurring after  $\geq 1$  year of continuous KP membership during which time the patient received no dementia diagnosis.

**Covariates.** Data were gathered from the EHR on: 1) sociodemographic factors, including age, sex, race/ethnicity, and Census-based neighborhood-level education, 2) substance use, including ever smoking, alcohol use disorder, and other substance use disorder, 3) cardiovascular disease, including cerebrovascular disease, peripheral vascular disease, heart failure, and coronary heart disease, and 4) other clinical factors, including hypertension, dyslipidemia, diabetes mellitus, obesity (body mass index  $\geq 30$  kg/m<sup>2</sup>), depression, and healthcare utilization (number of outpatient visits in the past year). For PWH, data were also gathered on CD4 and HIV RNA levels. Covariates are described in more detail in Supplemental Table 2, <http://links.lww.com/QAD/C398>.

**Statistical analyses.** Dementia incidence and prevalence were evaluated by HIV status for the entire study period (i.e., 2000-2016) and by time period (i.e., 2000-2002, 2003-2004, ...2015-2016).

First, HIV-specific dementia incidence and prevalence were calculated in each time period using Poisson regression. To control for changing demographics over time, incidence and prevalence in each time period were standardized to the age and sex distribution of the overall study population (i.e., PWH and PWoH combined) in the first time period, 2000-2002. Temporal trends in standardized incidence rate (sIR) were evaluated using the

Joinpoint Regression Program, version 4.8.0.1[28]. This method uses least squares regression to fit line segments to the natural log of the sIR, joined at discrete points identified by the software to represent statistically significant changes in direction of trend [29]. The best-fitting and simplest model was selected using a sequence of permutation tests with Monte Carlo sampling and Bonferroni correction for multiple testing. The average percentage change in sIR per period was calculated and pairwise comparability tests were performed to determine whether trends in dementia incidence and prevalence differed by HIV status [30].

Then, dementia incidence and prevalence were compared by HIV status overall and within individual time periods using rate ratios from unadjusted and covariate-adjusted Poisson regression models. To assess differences in the association of HIV with dementia incidence and prevalence by demographic subgroup, we examined covariate-adjusted models with terms for HIV\*sex and HIV\*race/ethnicity.

In sensitivity analyses, rate ratios were recalculated: 1) excluding PWH with detectable HIV RNA (>200 copies/ml) at baseline, as these individuals may have had suboptimal adherence to ART; and 2) excluding PWH with prior advanced immunodeficiency (CD4 cell count <200 cells/ $\mu$ l), which has been associated with long-term neurologic effects [12] and may be a marker for historical risk factors, such as prior untreated HIV infection, not captured by our adjustment for baseline clinical covariates. Analyses were conducted using Stata 17 (College Station, Texas, USA).

## Results

The study included 13,296 PWH and 155,354 PWoH (**Table 1**). Participants were similar on the matching factors of age, sex, and race/ethnicity. The average age at baseline was 54 years (standard deviation [SD]=6), 89% of participants were male, and 53% of PWH and 51% of PWoH were non-Hispanic White. PWH were more likely than PWoH to have a history of substance use, cardiovascular disease, dyslipidemia, or depression, and were less likely to have hypertension, diabetes or to be obese. All PWH were on ART prior to baseline. At baseline, 80% of PWH were virally suppressed, 51% had a CD4 cell count of  $\geq$ 500 cells/ $\mu$ l, and 36% had prior advanced immunodeficiency (CD4 cell count <200 cells/ $\mu$ l). PWH in the study had been living with HIV for an average of 9 years (SD=8).

## Dementia incidence

At baseline, 249 (1.9%) PWH and 734 (0.5%) PWoH had pre-existing dementia and were therefore excluded from analyses of dementia incidence, resulting in a total of 13,047 PWH and 154,620 PWoH. During follow-up, 326 (2.5%) PWH and 2,006 (1.3%) PWoH were diagnosed with dementia, 3,896 (29.9%) PWH and 48,084 (31.1%) PWoH ended their KP membership, and 1,135 (8.7%) PWH and 6,332 (4.1%) PWoH died. At the end of follow-up, 7,690 (58.9%) PWH and 98,198 (63.5%) PWoH were still alive and without a diagnosis of dementia. PWH were followed for an average of 5.4 years (SD=4.6), and PWoH were followed for an average of 6.0 years (SD=4.8).

From 2000 to 2016, dementia incidence was higher among PWH than PWOH in all time periods but declined for both groups over time (**Figure 1**). Among PWH, dementia incidence decreased from an age- and sex-standardized IR (sIR) of 6.9 cases per 1,000 person-years [95% confidence interval=4.5 to 10.0] in 2000-2002 to 2.5 cases [1.8 to 3.4] in 2015-2016. Among PWOH, dementia incidence decreased from a sIR of 2.1 [1.7 to 2.5] in 2000-2002 to 1.2 [1.1-1.4] in 2015-2016. The average decrease in dementia incidence per period was significantly greater among PWH (-8.0% [-10.6% to -5.3%]) than PWOH (-3.1% [-5.4% to -0.8%], p-interaction <0.001).

The overall incidence of dementia (i.e. all time periods combined from 2000-2016) was higher among PWH after adjustment for sociodemographics, substance use, cardiovascular disease, and other clinical factors (adjusted incidence rate ratio [aIRR]= 1.8 [1.6 to 2.0]; **Table 2**). In comparisons of dementia incidence by HIV status within individual time periods, dementia incidence was 1.2 to 3.7 times higher among PWH in each period, and despite decreases in dementia incidence over time, remained higher among PWH in the most recent period, 2015-2016 (aIRR=1.6 [1.2 to 2.1]). The higher dementia incidence among PWH was similar by sex (p-interaction=0.84) and by race/ethnicity (p-interaction=0.36).

In sensitivity analyses excluding PWH with detectable HIV RNA at baseline or prior advanced immunodeficiency, overall dementia incidence was similarly elevated among PWH (aIRR=1.6 [1.4 to 1.9] and aIRR=1.7 [1.5 to 2.0], respectively; Supplemental Table 3, <http://links.lww.com/QAD/C398>).

## Dementia prevalence

From 2000 to 2016, dementia prevalence increased from 29.0 [22.7 to 36.5] to 31.2 [27.7 to 35.0] cases per 1,000 persons among PWH and from 6.5 [5.6 to 7.4] to 11.4 [10.8 to 12.0] cases per 1,000 persons among PWOH (**Figure 2**). Although both PWH and PWOH experienced overall increases in dementia prevalence, temporal trends differed by HIV status (p-interaction=0.02). Among PWH, dementia prevalence increased on average 1.5% [-2.8 to 6.0] per period from 2000 to 2012 and decreased on average 6.4% [-18.7 to 7.9] per period from 2013 to 2015, although neither of these trends were significant (p=0.4 and p=0.2, respectively). Among PWOH, dementia prevalence increased on average 6.2% [1.6 to 11.0, p<0.01] per period from 2000 to 2010 and was fairly stable from 2011 to 2016 (-0.6% [-4.7 to 3.7], p=0.7).

The overall prevalence of dementia from 2000 to 2016 was higher among PWH (adjusted prevalence ratio [aPR]=1.9 [1.7 to 2.0]). Within individual time periods, covariate-adjusted dementia prevalence was 1.8 to 3.5 times higher among PWH compared with PWOH (**Table 3**) and remained higher among PWH in the most recent period, 2015-2016 (aPR=1.8 [1.6 to 2.0]). The higher dementia prevalence among PWH was similar by sex (p-interaction=0.39) and by race/ethnicity (p-interaction=0.31).

In sensitivity analyses excluding PWH with detectable HIV RNA at baseline or prior advanced immunodeficiency, overall dementia prevalence was similarly elevated among PWH (aPR=1.8 [1.6 to 2.0] and aPR=1.6 [1.4 to 1.8], respectively; Supplemental Table 4, <http://links.lww.com/QAD/C398>).

## Discussion

In this study of ART-treated PWH and demographically-similar PWOH, the incidence of dementia decreased from 2000 to 2016 for both PWH and PWOH. Reductions in incidence were greater among PWH, but dementia incidence remained 58% higher among PWH in the most recent period, 2015-2016. The overall prevalence of dementia increased from 2000 to 2016 for both PWH and PWOH. In recent years, trends in dementia prevalence are suggestive of a decrease among PWH and stabilization among PWOH. In the 2015-2016 period, dementia prevalence was 75% higher among PWH compared with PWOH. In all time periods, dementia incidence and prevalence were higher among PWH even after accounting for sociodemographics, substance use, comorbidities, and frequency of healthcare utilization. Higher incidence and prevalence of dementia among PWH were not significantly different by sex or racial/ethnic groups.

Reductions in dementia incidence over time may be due to a combination of factors. In the general U.S. population, decreasing dementia incidence has been hypothesized to be due in part to improved prevention and management of cardiovascular risk factors for dementia as well as population-level improvements in educational attainment [14, 15, 31]. Our observation that reductions in incident dementia were more pronounced among PWH may indicate better chronic disease management and attention to modifiable risk factors such as smoking cessation and hypertension control in this population [32, 33], which typically has more frequent contact with the healthcare system than the average adult. It could also reflect improvements in ARTs as well as increased options for treatment simplification [34], all of which may enhance adherence to newer regimens and lead to more effective HIV suppression over time. Moreover, broader ART options are now available for PWH with specific comorbidities such as cardiovascular, liver, or renal disease, and in general, virologic failure has become increasingly rare with newer, more potent ART regimens [34]. Another possibility is that observed trends reflect a survivor effect whereby PWH who live longer and stay in HIV care may be generally healthier and at lower risk for developing dementia.

For the most part, increasing trends in dementia prevalence among PWH mirror trends among PWOH. In more recent years, the data are suggestive of diverging trends by HIV status, with decreasing prevalence among PWH and more stable prevalence among PWOH. It is unclear what may have driven differential trends by HIV status towards the end of the study. Analyses controlled for changes in the age and sex distribution of the study population, excluding this as a likely explanation. Also, the average duration of HIV infection increased incrementally with each subsequent time period, making differential inclusion or attrition of PWH by duration of HIV infection another unlikely reason. More follow-up time will be needed to confirm this initial finding and shed light on potential explanations – for

example, whether divergent trends may be due to differential survival by HIV status following dementia diagnosis, changing epidemiology of dementia-related comorbidities such as smoking [32], or perhaps attrition of PWH with greater lifetime exposure to HIV viremia due to less effective ART in earlier years.

Our finding that ART-treated PWH had higher incidence and prevalence of dementia than PWH across all time periods is consistent with growing evidence that cognitive aging may be premature and/or accelerated among PWH [35-42]. These results also align with a recent study within an independent KP Northern Californian cohort from 2013 to 2019 which found elevated dementia risk among ART-treated PWH compared with demographically-matched uninfected PWH, even after controlling for traditional dementia risk factors [27]. Persistently elevated dementia risk among ART-treated PWH suggests that the current strategy of primarily encouraging ART initiation and adherence may be insufficient to fully protect the cognitive function of older PWH, particularly in the context of higher baseline dementia risk from the contributing effects of HIV disease on neurocognition. Indeed, unlike most HIV/AIDS-related conditions which have decreased with ART use, cognitive impairment appears less impacted [33, 38, 43, 44]. Notably, in this study, dementia incidence and prevalence remained elevated among PWH even in sensitivity analyses which restricted PWH to those with HIV RNA <200 copies/ml (a proxy for optimal ART adherence) and no prior advanced immunodeficiency (a proxy for timely ART initiation and consistent usage).

Overall, our findings underline the importance of identifying effective strategies to prevent or delay the onset of dementia in an aging HIV population, especially since cognitive impairments among PWH could have adverse impacts on ART adherence and HIV outcomes [45]. It remains unclear whether PWH may require dementia prevention measures distinct from those recommended for the general population [46]. The American Academy of Neurology currently recommends annual cognitive screening of patients aged  $\geq 65$  years [47], but some evidence indicate that PWH may develop dementia at earlier ages [27, 42]. There are currently limited data on age-associated dementias in PWH, and consensus cognitive screening guidelines for older PWH in routine clinical care have not been developed. The validation of cognitive screening tools for PWH also remains a developing area of research [2, 45, 48-50]. As the population with HIV continues to experience improved survival on suppressive ART [18], expanding our understanding of dementia risk among PWH may help identify subgroups that could benefit from enhanced cognitive surveillance or early intervention.

This study had some limitations. First, dementia diagnoses based on clinical workup are subject to variation across providers. Providers may be less likely to overlook cognitive complaints in PWH or more likely to refer them for follow-up evaluation, resulting in higher diagnosis rates in this group. However, chart review confirmed comparable PPV of our ICD-based definition of dementia in PWH and PWH and similar ICD coding patterns by HIV status [27]. Second, provider patterns in dementia diagnosis may have changed over time resulting in over- or under-estimation of dementia in some time periods. However, the ICD codes used to identify dementia were confirmed by review of charts sampled across the entire



study period. These changes are therefore unlikely to have substantially affected comparisons by HIV status since we restricted the definition of dementia to ICD codes with the highest PPV among both PWH and PWOH. Third, there were insufficient numbers of cases to conduct analyses by dementia subtype, and without biomarker data (e.g. neuroimaging, cerebrospinal fluid [CSF]), we could not investigate dementia etiology and whether some cases of dementia identified among PWH may have been due to CSF viral escape [51-53]. However, this phenomenon is uncommon and would likely have accounted for few, if any, of the dementia diagnoses among PWH in this study, all of whom were ART-treated. Fourth, the study may have limited generalizability since it was conducted in an insured U.S. population that was primarily male. However, our HIV population was representative of the HIV population in the service areas for each of the KP health systems and that would most likely be seen in clinical practice [54-58]. Over 90% of the U.S. population is currently insured[59] and this percentage may be even higher among PWH who qualify for publicly-funded insurance which is accepted by KP health systems. Therefore, our results may generalize to other insured PWH in the U.S. and in other settings with similar access to care. Lastly, some cases of HAND may have been misclassified as dementia given variable clinical presentation of cognitive impairments in PWH[3, 12, 19] and lack of widely-recognized HIV-specific diagnostic guidelines for dementia in primary care settings [60]. However, the detection of HAND in primary care is less sensitive than the more extensive neuropsychological assessments conducted in research settings which are more likely to detect mild or moderate cases. Therefore, our estimates of dementia are likely to be conservative and reflective of clinically-apparent dementia, which is what this study intended to capture.

A major strength of our study was the large cohort of people with well-controlled HIV and demographically-similar comparator population of PWOH from the same setting. Also, few studies have been powered to examine dementia among PWH older than 50 years with individual-level adjustment for dementia-related comorbidities and risk factors. Pooling EHR data across multiple healthcare systems capitalized on the availability of harmonized clinical covariates and the existence of long-standing HIV registries. Further, the “closed” nature and integrated delivery design of the health systems allowed for comprehensive capture of ART use among PWH.

## **Conclusions**

Despite effective ART use and declining dementia incidence among PWH, dementia incidence and prevalence remain higher among PWH compared with PWOH. Dementia can cause significant disability and dependence, diminishing the benefits of achieving near-normal life expectancy with HIV treatment. To maintain the health and quality of life of older PWH, further research is needed to determine factors contributing to persistently elevated dementia risk among ART-treated PWH.

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## ROLE OF EACH AUTHOR

JOL conceptualized the study, conducted the data analysis, drafted the manuscript, and provided funding support. CL provided biostatistical support and critical input on the manuscript. PG, CEH, DDS, JAF, WJT, and MAH provided critical input on the manuscript. WAL collected data and provided critical input on the manuscript. MJS provided supervision and critical input on the manuscript.

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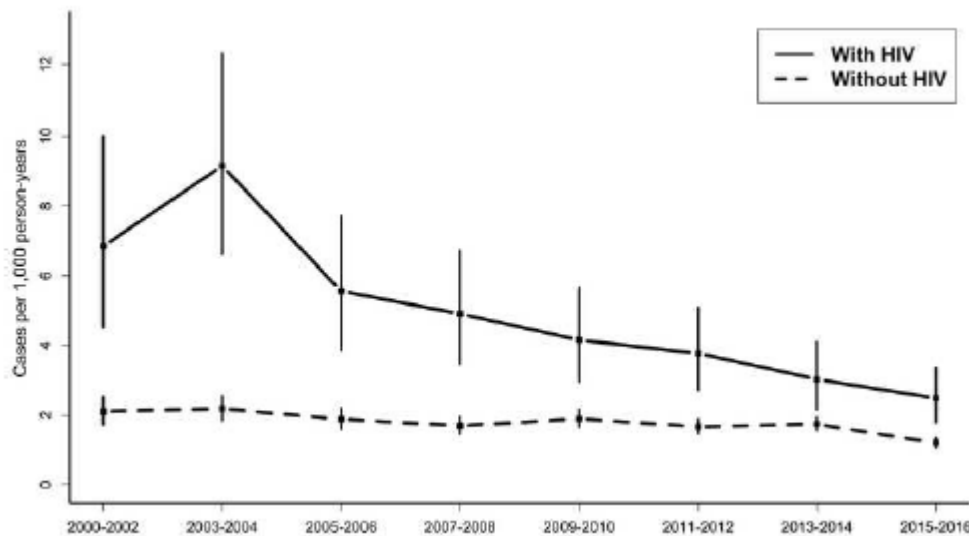
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## FIGURE LEGENDS

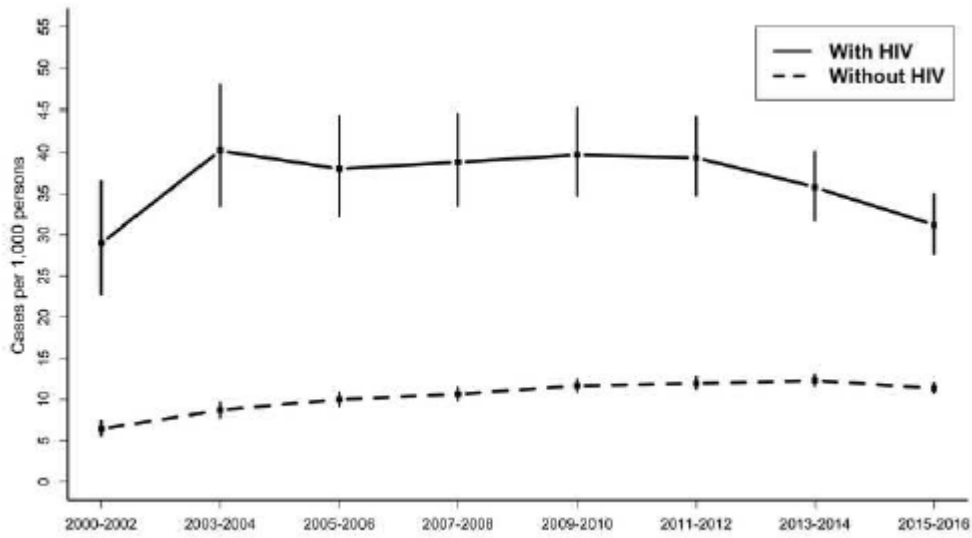
### Figure 1. Incidence of dementia by HIV status – Kaiser Permanente, 2000-2016.

Incidence estimates were standardized to the overall age and sex distribution in the time period 2000-2002. Vertical bars depict 95% confidence intervals.



**Figure 2. Prevalence of dementia by HIV status – Kaiser Permanente, 2000-2016.**

Prevalence estimates were standardized to the overall age and sex distribution in the time period 2000-2002. Vertical bars depict 95% confidence intervals.





**Table 1. Baseline characteristics of study population**

| Characteristic                                       | With HIV      | Without HIV    |
|--|---------------|----------------|
|  | N=13,296      | N=155,354      |
|  | n (%)         | n (%)          |
| Age, mean years (SD)                                 | 53.9 (5.5)    | 53.5 (5.5)     |
| Male   | 11,854 (89.2) | 137,990 (88.8) |
| Race/ethnicity                                       | 7,073 (53.2)  | 79,095 (50.9)  |
| White, non-Hispanic                                  | 2,660 (20.0)  | 32,237 (20.8)  |
| Black, non-Hispanic                                  | 2,241 (16.9)  | 25,835 (16.6)  |
| Hispanic   |               |                |
| Asian, non-Hispanic/Other                            | 1,322 (9.9)   | 18,187 (11.7)  |
| Lower neighborhood-level education <sup>a</sup>      | 2,109 (20.8)  | 25,748 (20.3)  |
| Ever smoking   | 6,895 (51.9)  | 64,917 (41.8)  |
| Alcohol use disorder                                 | 1,116 (8.4)   | 9,473 (6.1)    |
| Other substance use disorder                         | 1,430 (10.8)  | 5,642 (3.6)    |
| Cardiovascular disease <sup>b</sup>                  | 1,275 (9.6)   | 12,865 (8.3)   |
| Hypertension   | 3,903 (29.4)  | 47,955 (30.9)  |
| Dyslipidemia   | 6,219 (46.8)  | 62,144 (40.0)  |
| Diabetes   | 1,444 (10.9)  | 18,949 (12.2)  |
| Obesity <sup>c</sup>                                 | 1,704 (21.4)  | 32,492 (41.4)  |
| Depression   | 3,944 (29.7)  | 19,733 (12.7)  |
| Number of outpatient visits in prior year, mean (SD) | 13.6 (16.6)   | 5.7 (10.5)     |
| Pre-existing dementia diagnosis                      | 249 (1.9)     | 722 (0.5)      |
| CD4 count (cells/ $\mu$ l) <sup>d</sup>              | 6,280 (50.9)  |                |
| $\geq$ 500   |               | -              |
| 200-499  | 4,763 (38.6)  |                |
| <200   | 1,305 (10.6)  |                |
| Prior advanced immunodeficiency <sup>e</sup>         | 4,821 (36.3)  | -              |
| HIV suppression <sup>f</sup>                         | 9,977 (80.1)  | -              |
| Duration of HIV infection, mean years (SD)           | 9.1 (7.7)     | -              |

Abbreviation: SD=standard deviation

<sup>a</sup> Lower neighborhood-level education defined as  $\geq$ 25% of the population in the patient's Census block group having no high school diploma; % of known; 23.8% of people with HIV and 18.5% of people without HIV had unknown education.

<sup>b</sup> Cerebrovascular disease, peripheral vascular disease, heart failure, and coronary heart disease.

<sup>c</sup> Body mass index  $\geq 30$  kg/m<sup>2</sup>; % of known; 40.1% of people with HIV and 49.4% of people without HIV had unknown body mass index.

<sup>d</sup> % of known; 7.1% of people with HIV had unknown CD4 count.

<sup>e</sup> Ever CD4 count <200 cells/ $\mu$ l

<sup>f</sup> HIV RNA <200 copies/ml; % of known; 7.3% of people with HIV had unknown HIV RNA levels.

**Table 2. Incidence rate ratios of dementia in people with and without HIV, overall and by time period – Kaiser Permanente, 2000-2016**

| Time period    | With HIV          |                           | Without HIV       |                           | Incidence rate ratio (95% CI) |                         |
|----------------|-------------------|---------------------------|-------------------|---------------------------|-------------------------------|-------------------------|
|                | Cases of dementia | Person-years of follow-up | Cases of dementia | Person-years of follow-up | Unadjusted                    | Adjusted <sup>a</sup>   |
| 2000-2002      | 27                | 3,856                     | 113               | 53,811                    | 3.33 (2.19-5.08)              | 2.54 (1.60-4.05)        |
| 2003-2004      | 43                | 4,672                     | 153               | 67,184                    | 4.04 (2.88-5.67)              | 3.66 (2.58-5.19)        |
| 2005-2006      | 35                | 6,208                     | 173               | 84,055                    | 2.74 (1.90-3.94)              | 2.37 (1.63-3.45)        |
| 2007-2008      | 38                | 7,611                     | 198               | 101,770                   | 2.57 (1.81-3.63)              | 2.04 (1.43-2.91)        |
| 2009-2010      | 41                | 9,001                     | 275               | 119,727                   | 1.98 (1.43-2.75)              | 1.64 (1.16-2.31)        |
| 2011-2012      | 45                | 10,806                    | 313               | 143,169                   | 1.90 (1.39-2.60)              | 1.69 (1.23-2.32)        |
| 2013-2014      | 45                | 12,947                    | 393               | 168,466                   | 1.49 (1.09-2.03)              | 1.24 (0.90-1.70)        |
| 2015-2016      | 52                | 15,236                    | 388               | 196,300                   | 1.73 (1.29-2.31)              | 1.58 (1.18-2.12)        |
| <b>Overall</b> | <b>326</b>        | <b>70,337</b>             | <b>2,006</b>      | <b>934,482</b>            | <b>2.16 (1.92-2.43)</b>       | <b>1.80 (1.60-2.04)</b> |

<sup>a</sup> Adjusted for current age, sex, race/ethnicity, neighborhood-level education, ever smoking, alcohol use disorder, other substance use disorder, cerebrovascular disease, peripheral vascular disease, coronary heart disease, heart failure, hypertension, dyslipidemia, diabetes mellitus, obesity, depression, and number of outpatient visits in the year before baseline.

**Table 3. Prevalence ratios of dementia in people with and without HIV, overall and by time period – Kaiser Permanente, 2000-2016**

| Time period    | With HIV          |                   | Without HIV       |                   | Prevalence ratio (95% CI) |                       |
|----------------|-------------------|-------------------|-------------------|-------------------|---------------------------|-----------------------|
|                | Cases of dementia | Number of persons | Cases of dementia | Number of persons | Unadjusted                | Adjusted <sup>a</sup> |
| 2000-2002      | 73                | 2,482             | 212               | 32,706            | 4.54 (3.49-5.90)          | 3.13 (2.33-4.20)      |
| 2003-2004      | 124               | 3,041             | 370               | 41,152            | 4.54 (3.71-5.54)          | 3.49 (2.82-4.32)      |
| 2005-2006      | 157               | 4,058             | 545               | 50,553            | 3.59 (3.01-4.27)          | 2.79 (2.32-3.35)      |
| 2007-2008      | 193               | 4,851             | 724               | 60,391            | 3.32 (2.84-3.88)          | 2.44 (2.06-2.88)      |
| 2009-2010      | 240               | 5,723             | 982               | 71,143            | 3.04 (2.65-3.49)          | 2.25 (1.94-2.61)      |
| 2011-2012      | 286               | 6,860             | 1,259             | 84,626            | 2.80 (2.47-3.18)          | 2.14 (1.88-2.43)      |
| 2013-2014      | 316               | 8,053             | 1,583             | 98,494            | 2.44 (2.17-2.75)          | 1.87 (1.65-2.11)      |
| 2015-2016      | 340               | 9,352             | 1,834             | 113,179           | 2.24 (2.00-2.51)          | 1.75 (1.56-1.97)      |
| <b>Overall</b> | 574               | 13,296            | 2,732             | 155,354           | 2.45 (2.25-2.68)          | 1.86 (1.70-2.04)      |

<sup>a</sup> Adjusted for current age, sex, race/ethnicity, neighborhood-level education, ever smoking, alcohol use disorder, other substance use disorder, cerebrovascular disease, peripheral vascular disease, coronary heart disease, heart failure, hypertension, dyslipidemia, diabetes mellitus, obesity, depression, and number of outpatient visits in the year before baseline.